

RESEIVED CENTRAL FAX CENTER JUL 2 1 2006

То:	FOR PATENTS	From:	Ted Galanthay
Tel:		Tel:	(613) 599-9539 x 1236
Fax:	571-273-8300	Fax:	(613) 271-2148
Date:	JULY 21, 2006	# of Pages:	Cover + 3 l

THIS 15 A DUPLICATE COPY OF DOCUMENT MAILED ON JULY 19, 2006.

Please see attached.

Regards,

Theodore E. Galanthay

The information contained in this facsimile message is confidential and intended only for the use of the addressee. Any use, dissemination, distribution or copy of this facsimile by enyone other than the intended recipient is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone and return the original message to us by mail at the address above. Thank you.

PECEIVED CENTRAL FAX CENTER JUL 2 1 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THIS IS A COURTESY DUPLICATE COPY BEING SENT BY FAX TO 571-273-8300

1 ON JULY 21, 2006

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence and fee is being deposited with the U. S. Postal Service as first class mail under 37 C.F. R. 1.8 and is addressed to the Commissioner for Patents, Mail Stop: Appeal Brief P.O. Box 1450 Alexandria, VA 22313-1450 on:

July 19,2006-

Theodore E. Galanthay

Appl. No.

10/737,247

Confirmation No. 5845

Applicant

William POHLMAN et al

Filed

: 12/15/2003

TC/A.U.

12/15/200. 2838

Examiner

: VU, BAO Q

Docket No.

; P-001D

Customer No.

: 34398

Title:

APPARATUS FOR PROVIDING REGULATED POWER TO AN INTEGRATED

CIRCUIT

APPEAL BRIEF

Dear Sir/Madam:

This is a brief for an appeal from a Final Office Action mailed <u>February 21, 2006</u>, and pursuant to a Notice of Appeal that was timely filed on <u>May 22, 2006</u>. The Appeal Brief Fee of \$ 500.00 in accordance with 41.20(b)(2) is enclosed herewith.

07/24/2006 MBIHAS 08000015 10737247

01 FC:1402

500.00 OP

RECEIVED CENTRAL FAX CENTER

TABLE	OF	CON	TENTS	

JUL 2 1 2006

I.	REAL PARTY IN INTEREST	3
П.	RELATED APPEALS AND INTERFERENCES	3
m.	STATUS OF THE CLAIMS	3
IV.	STATUS OF AMENDMENTS	3
V.	SUMMARY OF CLAIMED SUBJECT MATTER	4
VI.	GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL	7
VII,	APPELLANT'S ARGUMENT	8
A	. Claims 1-18 Are Patentable Over Blish USP 5,914,873 and Hu USP 5,938,769	8
B	. Conclusion	. 18
	CLAIMS APPENDIX	. 19
	EVIDENCE APPENDIX	. 22
	RELATED PROCEEDINGS APPENDIX	. 23
	APPENDIX B - US PATENT 6 429 630 (PARENT OF APPLICATION)	24

REDEIVED CENTRAL FAX CENTER JUL 2 1 2006

L REAL PARTY IN INTEREST

The real party in interest in this appeal is the assignce of this application,

PRIMARION ____, Inc.

II. RELATED APPEALS AND INTERPERENCES

Appellant is unaware of any related appeals or interferences.

III. STATUS OF THE CLAIMS

The application was originally filed with Claims 1-18. Claims 1-18 remain pending and all stand rejected. This is an appeal of rejected Claims 1-18. Claims 1-18 are reproduced and attached in the Claims Appendix.

IV. STATUS OF AMENDMENTS

Applicants submitted a response to the non-final office action dated October 17, 2005. These claims were finally rejected in the Final Office Action. The 18 claims that appear before the Board are those 18 claims that were originally filed and re-submitted in unamended form in the response to the non-final office action dated October 17, 2005. These claims 1-18 are attached hereto in the Claims Appendix.

NO.437 P.5/32

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

RECEIVED CENTRAL FAX CENTER JUL 2 1 2006

V. SUMMARY OF CLAIMED SUBJECT MATTER

Claim 1 is directed to a tiered power regulation system 100 (FIG. 1). (For the sake of convenience, references to the drawing figures and the specification are made with respect to US Patent Number 6,429,630; which is the parent patent application that issued with the same drawings and specification as the instant application, and is attached herewith as Appendix B - US PATENT 6,429,630 - PARENT OF APPLICATION.) See FIG. 1 illustrating a first power regulator 110, an array 120 comprising a plurality of second power regulators 120(a) – 120(n), said second power regulators configured to respond to a load power demand rate greater than said first power regulator responds to power demands. (See the specification at e.g. col. 4 lines 49-54 noting that time delays associated with larger regulators are mitigated because smaller regulators within an array are used to provide current to a portion or portions of the microprocessor.) wherein said array 120 comprising a plurality of second power regulators 120(a) – 120(n), is configured to couple to a plurality of portions of a microprocessor 130 (FIG. 1).

Claims 2-8 are dependent claims and all depend directly from claim 1. Claim 2 specifies that the regulator array 120 is coupled to the microprocessor 130 using bump technology (col. 4 line 66). FIG. 3 refers to using a compound semiconductor substrate (page 5 line 5-19). Claim 4 specifies a switching regulator (col. 3 line 30). Claim 5 recites the second power regulators 120 being coupled together in parallel (FIG. 1). Claim 6 recites the first regulator 210 providing power to said array 220 and to said microprocessor 230 (FIG. 2). Claim 7 recites electronic components 150 coupled to said microprocessor 130, said components configured to provide

NO.437 P.6/32

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

power to said microprocessor 130. Claim 8 recites said array 220 coupled in parallel to said first regulator 210 and to said microprocessor 130 (FIG.2).

Claim 9 is directed to a tiered power regulation system. See FIG. 1 illustrating a first power regulator 110, a microelectronic device 130 formed on a first substrate and an array 120 of second power regulators (120(a)-120(n) configured to respond to a load power demand rate greater than said first power regulator 110 responds to power demands. (See the specification at e.g. col. 4 lines 49-54 noting that time delays associated with larger regulators are mitigated because smaller regulators within an array are used to provide current to a portion or portions of the microprocessor.) Claim 9 further recites that the microprocessor device and array of second power regulators are on two different substrates.

Claims 10-17 are dependent claims that depend directly from claim 9. Claim 10 is directed to bump technology (col. 4 line 66). Claim 11 recites the case where the first power regulator is a Buck regulator (col. 3 line 34). Claim 12 recites that the microelectronic device comprises a microprocessor. Claim 13 is directed to the second substrate comprising compound semiconductor material (col. 2 line 22). Claim 14 is directed to the second power regulators 120 being coupled together in parallel (FIG. 1). Claim 15 recites that the first regulator 210 provides power to the array 220 and to the microelectronic device 230 (FIG. 2). Claim 16 further recites electronic components 250 coupled to said microelectronic device 230, said components 250 configured to provide power to said microelectronic device 230 (FIF. 2). Claim 17 recites the array 220 coupled in parallel to said first regulator 210 and to said microelectronic device 230 (FIF. 2).

· Claim 18 is the third independent claim directed to a tiered power regulation system

NO.437 P.7/32

JUL.21.2006 2:22PM MOSAID

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

comprising: a first power regulator 110, a microelectronic device 130 formed on a first substrate and an array of second power regulators 120 formed on a second substrate, said second power regulators configured to respond to a load power demand rate (See the specification at e.g. col. 4 lines 49-54 noting that time delays associated with larger regulators are mitigated because smaller regulators within an array are used to provide current to a portion or portions of the microprocessor.) greater than said first power regulator responds to power demands; wherein said array is coupled in parallel to said microelectronic device 130 using bump technology (col. 4 line 66).

VI. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

The grounds of rejection to be reviewed on appeal are as follows:

1) Claims 1-18 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Blish U.S. Patent No. 5,914,873 in view of U.S. Patent No. 5,938,769 to HU.

VII. APPELLANT'S ARGUMENT

A. Claims 1-18 Are Patentable Over Blish in view of Hu

The Examiner has rejected Claims 1-18 under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent No. 5,914,873 to Blish (hereinafter "Blish") in view of Hu U.S. Patent No. 5,938,769. Final Office action at pages 2-4.

The Board should overturn this rejection because Blish does not teach or suggest every element recited in each of the claims, because Hu does not teach the claim elements missing from the teachings of Blish, because there is no motivation or suggestion to modify Blish in accordance with Hu and because even if Blish were modified in accordance with Hu, appellant's invention would be neither anticipated nor rendered obvious in the sense of 35USC103.

1. Summary of Blish

Blish discloses a distributed voltage converter for high power microprocessor with array connections. See Title. In this regard, Examiner has referenced voltage converters 220(a), 220(n) supplying power to a microprocessor silicon chip comprising an array of logic gates 210. See column 6 lines 19-21 and FIG. 4. Since no mention in Bliss is made to the contrary, it is presumed that all the regulators provide current to the microprocessor as well as the logic gates at the same speed. Blish is not concerned with the speed with which currents are provided because a response to transient events is a problem not addressed or solved by the power supply design of Blish.

2. Comparison of Applicants' Device v. Blish

At best, arguendo the voltage converters 220(a), 220(n) of Blish See FIG. 4 can be analogized with array 120 of applicants' invention See FIG. 1. Blish has no further relevance.

Blish does not teach a tiered power regulation system that proposes a first power regulator 110 in

NO.437 P.10/32

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

addition to array 120 coupled to a microprocessor. See FTG 1. Since Blish does not teach tiered power regulation, a fortiori, Blish does not teach one regulator responding to a load power demand rate greater than the other power regulator. Applicants recognized the need to respond to the transient power demands of electronic devices (such as a microprocessor) by: "supplying electronic devices with relatively high, regulated current at relatively high speed". See col. 2 lines 2-4, and col. 2 lines 47-48. See also col. 2 line 65 "short response time", col. 3 line 43 "rapid response power transfer", col. 3, line 42 "low response time (e.g. at speeds of 500 MHz and above)", etc.

Applicants also recognized the technical difficulties of one power supply performing the functions of supplying current at such a high demand <u>rate</u> as well as overall power demands. For this reason, they invented the <u>fiered power regulation system</u> comprising both a first power regulator and a second array.

In the final rejection, Examinar correctly notes that "The claim language clearly states that the second power demand rate is greater than that of the first demand rate" (comphasis added) See page 3 of the Office Action. However, then Examiner incorrectly concludes that: "There is no distinguishing difference between applicants' claim language and that of the prior art." In fact, even if one were to attribute the prior art with the ability to provide different power levels (as for example by the various embedded voltage converters 220(a), 220(n) See Fig. 4 of Blish, this is patentably distinct from Applicants' tiered power regulation system. Applicants' invention provides not only array 120 for satisfying a high demand rate but also intermediate power regulator 110. See Fig. 1. As another embodiment, Applicants connect intermediate regulator 210 in parallel with array 220 to load device 230. See Fig. 2 and this is also not shown in Blish. Neither the problem addressed by Applicants (satisfying microprocessors' power needs including the total power

NO.437 P.11/32

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

requirements as well as the need for fast response to demand rate) nor the solution of using a tiered power regulation system are taught by Blish.

Examiner did conclude that: "Blish discloses the claimed device except to having differing load power demand rates" (emphasis added) See page 2 of the Office Action. As noted above, Applicants disagree with Examiner's contention that Blish discloses the claimed tiered power regulation system of Applicants. However, Applicants agree with Examiner that Bilsh does not disclose differing power demand rates. In order to overcome the shortcomings of the Blish reference as noted by Examiner, Examiner cited Hu.

3. Summary of Hu

Hu discloses a CPU escalating adapter with multivoltage and multiple frequency selection.

See the Abstract. Basically, Hu recognized that a CPU can operate at different frequencies and requires different power levels. In accordance with Hu's disclosure: a toggle actuator has a plurality of switches connected with an input of multiple frequency selection of the CPU for providing a function of selecting frequency multiplication factors. See Col 2 lines 11-13. In short, a higher level of power is provided when the CPU operates at a higher frequency. However, Hu is not a tiered power regulation system. Hu never discusses or contemplates the use of two power supplies where one provides power at a faster response time than the other. Hu is not concerned with the speed with which currents are provided because a response to transient events is a problem not addressed or solved by the power supply design of Hu.

4. Comparison of Applicants' Device v. Hu

At best, arguendo, I'm discloses that: "With a combination of the voltage regulator 30, the stabilized integrated circuit 40, the divider resistor 41 and the toggle switch member 70, output

NO.437 P.12/32

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

voltage of the voltage regulator 30 can be changed..." See Fig. 1 and col. 3, lines 12-15. In other words, a different power level is supplied to the CPU for different clock speeds of operation. It would appear that Examiner contends that this teaching of different power levels supplied to a microprocessor capable of operating at different clock frequencies adds to the teachings of Bliss and renders Applicants' invention obvious.

However, the presetting of switches for the application of different levels of power has absolutely no relationship to supplying power satisfying a high demand rate. Pre-setting switches merely establishes the level of power to be supplied for the particular clock frequency of operation. On the other hand, the power demand rate is primarily a function of the speed at which the demand for current changes as the number of active gates changes depending on the type of operation being performed by a microprocessor. In a particular section of the microprocessor (e.g. the arithmetic logic unit - commonly referred to as ALU), the power demand might be low when suddenly the demand becomes great. In other words, while only a few gates in a section of the microprocessor are active at one instant, many (if not all) gates are turned on demanding more power. Applicants realized that while one power regulator might be optimized to provide normal power requirements, it would not be optimized for the case when the load power demand changed quickly. For this reason, Applicants provide a tiered power regulation system where the second power regulators can be optimized to handle this transient condition. Applicants' array 220 is configured to respond to this transient "load power demand" at a "rate greater than the first power regulator 210 responds to power demands" (by microelectronic device 230) See Fig. 2 Thus, neither Bliss nor Hu, either singly or in combination teach Applicants' invention. When neither of two cited references teaches Applicants' invention then the combination of the two references cannot render the invention obvious in the sense of 35 U.S.C. 103.

NO.437 P.13/32

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

5. Claim 1 is directed to a tiered power regulation system comprising a first power regulator and an array comprising a plurality of second power regulators, said second power regulators configured to respond to a load power demand rate greater than said first power regulator responds to power demands

Claim 1 is directed to a tiered power regulation system comprising a first power regulator and an array comprising a plurality of second power regulators, said second power regulators configured to respond to a load power demand rate greater than said first power regulator responds to power demands, wherein said array is configured to couple to a plurality of portions of a microprocessor. The first of the tiered power regulators respond to the regular power demands of the load. The second power regulators respond to a load power demand rate greater than said first regulator responds to power demands.

(a) Examiner's rejection of Claim 1

The Examiner has rejected claim 1 as being obvious over Blish in view of Hu. In particular, the Examiner asserts that: "Blish describes an array of voltage regulators (220a-220n) connected in parallel and having a first voltage regulator that accepts a first power demand that is lower than the second power demands which would be greater. After noting that Blish does not teach: "having differing load power demand rates". Examiner states that: "Hu discloses that it is known in the art to provide having differing load power demand rates. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide an array of voltage regulators (220a-220n) connected in parallel of Blish with the provide a having differing load power demand rates of Hu, in order to provide a more diversified and power supply system capable of handling load and power demands of the circuit." See the Final Office Action at page 2. Examiner has inserted: "differing load power demand rate" from Applicants'

JUL.21.2006 2:23PM MOSAID NO.437 P.14/32

Application No. 10/737,247 Attorney Docket No.: P-001D

Appeal Brief

claims as there is no such teaching in Hu. Moreover there is no suggestion in either Blish or Hu why or how the two references could be combined to raise a question of obiousness.

(b) Legal Standard for a prima facte case of obviousness

To establish a prima facte case of obviousness, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings, and the prior art reference must teach or suggest all the claim limitations. M.P.E.P. § 2143. Also, the teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art, not in applicant's disclosure. In re Vaeck, 947 F.2d 488, 20 U.S.P.Q.2d 1438 (Fed. Cir. 1991). Since the final rejection does not meet this legal standard, it should be reversed on this basis alone.

(c) There is No Suggestion or Motivation for the Modification of Blish by the teachings of Hu.

The Examiner has failed to point to any suggestion or motivation in the prior art to modify Blish in view of Hu. It is well-settled that "a showing of a suggestion, teaching, or motivation to combine [or modify] the prior art references is an 'essential component of an obviousness holding'." C.R. Bard, Inc. v. M3 Systems, Inc., 157 F.3d 1340, 1352 (Fed. Cir. 1998). In addition, the mere fact that the prior art may be modified in the manner suggested by the examiner does not make the modification obvious unless the prior art suggested the desirability of the modification. In re Fritch, 972 F.2d 1260, 1266 n. 14, 23 USPQ2d 1780,1783-4 n.14 (Fed Cir. 1992); In re Gordon, 733 F.2d 900, 902, 221 USPQ 1125, 1127 (Fed Cir. 1984)

In the Final Office Action, the Examiner has simply argued that "...it would have been obvious to one having ordinary skill in the art at the time of the invention was made to provide

JUL.21.2006 2:24PM MOSAID . NO.437 P.15/32

Application No. 10/737,247 Attorney Docket No.: P-001D

Appeal Brief

an array of voltage regulators (220a-220n) connected in parallel of Blish with the provide of having differing load power demand rates of Hu, in order to provide a more diversified and power supply system capable of handling load and power demands of the circuit." Final Office Action, page 2. The Examiner has pointed to no objective teaching in either Blish or Hu for such an assertion, nor has the Examiner identified any basis as to why a person of ordinary skill in the art would be motivated to modify Blish in view of Hu. Because the Examiner has failed to point to any evidence of record showing a motivation to modify Blish in view of Hu, the Examiner has failed to satisfy the burden of showing prima facte obviousness of Blish in view of Hu. The

Board should overturn the rejection of Claim 1 on this basis alone.

(d) Neither Bllish nor Hu Teach or Suggest all of the claim limitations of claim 1. Therefore, even if Blish were properly modifiable in view of Hu, the resultant structure, mode of operation and result would not render Claim 1 obvious in the sense of 35 USC 193.

Blish does not teach or suggest all of the claim limitations of claim 1. In particular, Blish does not teach or suggest "A tiered power regulation system comprising a first power regulator and an array comprising a plurality of second power regulators, said second power regulators configured to respond to a load power demand rate preater than said first power regulator responds to power demands, wherein said array comprising a plurality of second power regulators is configured to couple to a plurality of portions of a microprocessor (emphasis added). As noted hereinabove in Section VII A2 and VII A 4 comparing Applicants' device to the teachings of Blish and Hu, these significant elements in the combination of Applicants' invention are missing from both Blish and Hu. When none of the cited references teach or suggest important aspects of Applicants' claimed invention, the combination of two references cannot be said to raise a question of obviousness. This would be the case, even if the two

NO.437 P.16/32

JUL.21.2006 2:24PM MOSAID

Application No. 10/737,247 Attorney Docket No.: P-001D

Appeal Brief

references were properly combined (which they are not). For the reasons set forth in this paragraph alone, the Board is respectfully requested to reverse the Examiner's rejection of claim 1.

6. Claims 2-8

Claims 2-8 all depend directly from Claim 1. Therefore, Claims 2-8 include all the limitations of Claim 1. The Board should overturn the Examiner's rejection of Claims 2-8 at least for the reasons expressed with respect to Claim 1, which are incorporated by reference. The rejection of claims 2-8 should also be overturned because claims 2-8 recite additional features. The additional features are more specific recitation of how the first power regulator and plurality of second power regulators are configured so that the array of second power regulators responds to a power demand rate greater than the first power regulator. For example, claim 2 recites bump technology. Claim 3 recites a compound semiconductor substrate (inherently faster response than a device on a silicon substrate). Claim 4 recites a switching regulator. Claim 5 recites the second power regulators being coupled in parallel. Claim 6 recites the first regulator providing power to both the array and to the microprocessor. Claim 7 recites components configured to provide power to the microprocessor. Claim 8 recites the array coupled in parallel to said first regulator and to said microprocessor. See Fig. 2 showing a configuration contemplated neither by Blish nor Hu. These features are not taught or suggested by Blish or Hu. Therefore, the rejection of claims 2-8 should be overturned by the Board for the same reasons as claim 1 and also because claims 2-8 recite additional features.

NO.437 P.17/32

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

7. Claim 9 recites "a tiered power regulation system where the array of second power regulators are configured to respond to a load power demand <u>rate</u> greater than said first power regulator responds to power demands"

Claim 9, like claim 1 recites a tiered power regulation system where the array of second power regulators are configured to respond to a load power demand rate greater than said first power regulator responds to power demands. In addition, claim 9 recites that while the microelectronic device is formed on a first substrate the array of second power regulators are formed on a second substrate. These features are not taught or suggested by Blish or Hu.

Therefore, the rejection of claim 9 should be overturned by the Board for the same reasons as claim 1 (the claim 1 patentability position being incorporated herein) and also because claim 9 recites additional features.

8. Claims 10-17

Claims 10-17 depend directly from Claim 9. Therefore, Claims 10-17 include all the limitations of Claim 9. The Board should overturn the Examiner's rejection of Claims 10-17 at least for the reasons expressed with respect to Claim 9, which is incorporated by reference. The rejection of claims 10-17 should also be overturned because claims 10-17 recite additional features. The additional features are more specific recitation of how the first power regulator and plurality of power regulators are configured so that the second power regulators respond to a power demand rate greater than the first power regulator. For example, claim 10 recites bump technology. Claim 11 recites a Buck regulator. Claim 12 specifies a microprocessor. Claim 13 recites a compound semiconductor material (inherently faster response than a device on a silicon substrate). Claim 14 recites that the second power regulators are coupled together in parallel. Claim 15 recites that the first regulator provides power to the array and to the microelectronic

NO.437 P.18/32

Application No. 10/737,247 Attorney Docket No.: P-001D

Appeal Brief

device. Claim 16 recites electronic components configured to provide power to the microelectronic device. Claim 17 recites the array coupled in parallel to said first regulator and to said microprocessor. See Fig. 2 showing a configuration contemplated neither by Blish nor Hu. In the overall combination, the features recited in claims 10-17 are not taught or suggested by Blish or Hu. Therefore, the rejection of claims 10-17 should be overturned by the Board for the same reasons as claim 9 and also because claims 10-17 recite additional features.

9. Claim 18 recites "a tiered power regulation system where the array of second power regulators are configured to respond to a load power demand <u>rate</u> greater than said first power regulator responds to power demands, as well as first and second substrates"

Claim 18, like claim 9 recites a ticred power regulation system where the array of second power regulators are configured to respond to a load power demand rate greater than said first power regulator responds to power demands, as well as first and second substrates. In addition, claim 18 recites that the microelectronic device is formed on the first substrate and wherein the array is coupled in parallel to the microelectronic device using bump technology. In the overall combination, these features are not taught or suggested by Blish or Hu. Therefore, the rejection of claim 18 should be overturned by the Board for the same reasons as claim 1 and claim 9 and also because claim 18 recites additional features.

JUL.21.2006 2:25PM MOSAID . NO.437 P.19/32

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

B. Conclusion

In view of the foregoing arguments, Claims 1-18 are patentable over Blish, US Patent 5,914,873 in view of Hu US Patent 5,938,769..

Respectfully submitted,

William Pohlman et al

Dated: 50LY 19, 2006

By: Theodore E.Galanthay

Registration No. 24,122

Attorney for Applicant

ATTN: Patent Department

Primarion Inc.

P.O. Box 28308

Scottsdale, AZ 85255-0155 Email: Ted.Galanthay@primarion.com

Tel: 602-793-5360 Fax: 480-994-9025

RECEIVED CENTRAL FAX CENTER JUL 2 1 2006

CLAIMS APPENDIX

- 1. (Original) A tiered power regulation system comprising:
 - a first power regulator; and

an array comprising a plurality of second power regulators, said second power regulators configured to respond to a load power demand rate greater than said first power regulator responds to power demands,

wherein said array comprising a plurality of second power regulators is configured to couple to a plurality of portions of a microprocessor.

- (Original) The tiered power regulation system of claim 1, wherein said regulator array is coupled to said microprocessor using bump technology.
- 3. (Original) The tiered power regulation system of claim 1, wherein said regulator array is formed using a compound semiconductor substrate.
- 4. (Original) The tiered power regulation system of claim 1, wherein said first regulator is a switching regulator.
- 5. (Original) The tiered power regulation system of claim 1, wherein said second power regulators are coupled together in parallel.
- 6. (Original) The tiered power regulation system of claim 1, wherein said first regulator provides power to said array and to said microprocessor.
- 7. (Original) The tiered power regulation system of claim 1, further comprising electronic components coupled to said microprocessor, said components configured to provide power to said microprocessor.

NO.437 P.21/32

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

- 8. (Original) The tiered power regulation system of claim 1, wherein said array is coupled in parallel to said first regulator and to said microprocessor.
- 9. (Original) A tiered power regulation system comprising:
 - a first power regulator;
 - a microelectronic device formed on a first substrate; and
- an array of second power regulators formed on a second substrate, said second power regulators configured to respond to a load power demand rate greater than said first power regulator responds to power demands.
- 10. (Original) The tiered power regulation system of claim 9, wherein said microelectronic device and said array are coupled together using bump technology.
- 11. (Original) The tiered power regulation system of claim 9, wherein the first power regulator is a Buck regulator.
- 12. (Original) The tiered power regulation system of claim 9, wherein the microelectronic device comprises a microprocessor.
- 13. (Original) The tiered power regulation system of claim 9, wherein the second substrate comprises compound semiconductor material.
- 14. (Original) The tiered power regulation system of claim 9, wherein said second power regulators are coupled together in parallel.
- 15. (Original) The tiered power regulation system of claim 9, wherein said first regulator provides power to said array and to said microelectronic device.
- 16. (Original) The tiered power regulation system of claim 9, further comprising electronic components coupled to said microelectronic device, said components configured to provide power to said microelectronic device.

- 17. (Original) The tiered power regulation system of claim 9, wherein said array is coupled in parallel to said first regulator and to said microelectronic device.
- 18. (Original) A tiered power regulation system comprising:
 - a first power regulator;
 - a microelectronic device formed on a first substrate; and
- an array of second power regulators formed on a second substrate, said second power regulators configured to respond to a load power demand rate greater than said first power regulator responds to power demands,

wherein said array is coupled in parallel to said microelectronic device using bump technology.

No.437 P.23/32

JUL.21.2006 2:26PM MOSAID

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

EVIDENCE APPENDIX

RECEIVED
CENTRAL FAX CENTER
JUL 2 1 2006

NONE

, NO.437 P.24/32

JUL.21.2006 2:26PM MOSAID

Application No. 10/737,247 Attorney Docket No.: P-001D Appeal Brief

RELATED PROCEEDINGS APPENDIX

RECEIVED CENTRAL FAX CENTER JUL 2 1 2006

NONE

US006429630R2

(12) United States Patent Pohlman et al.

(10) Patent No.:

US 6,429,630 B2

(45) Date of Patent:

Aug. 6, 2002

(S4) APTARATUS FOR PROVIDING REGULATED POWER TO AN INTEGRATED CIRCUIT

- (75) Inventors: William Pohlman, Phoenix; Michael Elsela, Paradise Valley, both of AZ (US)
- (73) Assignee: Primarion, Inc., Temps, AZ (US)
- (*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.
- (21) Appl. No.: 09/771,756
- (22) Filed: Jan. 29, 2001

4	Related U.S. Application Data					
(60)	Provisional application No. 60/178,421, filed on Jan. 2000.	27,				

(S6)

References Cited

U.S. PATENT DOCUMENTS

3,675,114 A	7/1972	Nerressian 329/269
4,675,770 A	6/1987	Johansson

5,563,R38 ∧		10/1996	Manteral.
5.574,697 A		11/1996	Monaing 965/226
5,629,60B A		3/12/1/	130 de (30 AB 379 /26A
5,717,319 A		2/1998	Joken 323/250
5,777,383 A		7/1998	Stager et al
5,818,780 A	4	10/1908	Manufag
5,835,979 A		11/1999	Hindel et al
5,852,350 A	•	12/1998	Callahan, Jr. et al 323/274
5,864,225 A		1/1900	Bryson 323/268
5,914,873 A		6/1999	Blish, II
5,938,769 A		8/1000	Hu
5,945,841 A		8/1999	
1,009,034 A		12/1999	Managing
6,028,417 A	٠	2/2000	Ann at al
-,,		~ =000	Ang et al 923/209

FOREIGN PATENT DOCUMENTS

EP 0 922 636 A1 6/1999

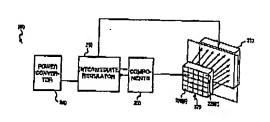
" cited by examiner

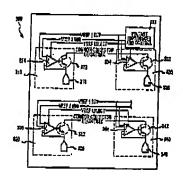
Primary Examiner—Bao Q. Va (74) Amorney, Agont, or Firm—Spell & Wilmer LLP

(57) AIISTRACT

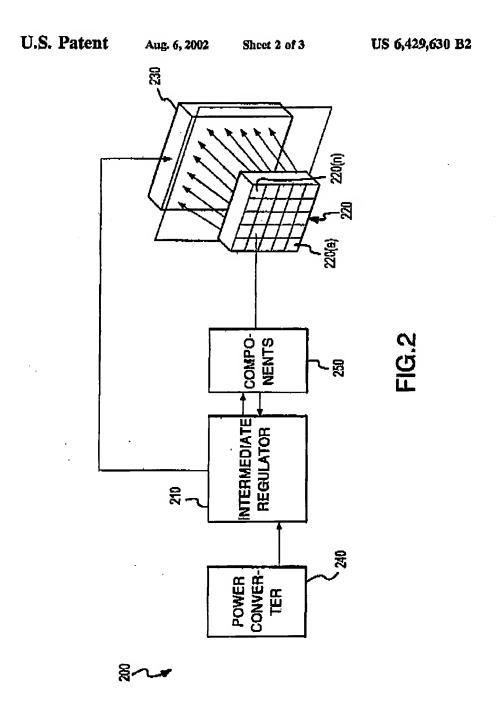
A regulator system for supplying power to a microelectronic device is disclosed. The system includes an array of a plurality of regulators, where each regulator, provides a portion of power required to operate the device, The system may finther include an intermediate power regulator that supplies power to the array of regulators.

5 Claims, 3 Drawing Sheets





U.S. Patent Aug. 6, 2002 Sheet 1 of 3 US 6,429,630 B2 NTERMEDIATE REGULATOR



APPENDIX B - US PATENT 6,429,630 (PARENT OF APPLICATION)

U.S. Patent Aug. 6, 2002 Shee

Sheet 3 of 3 US 6,429,630 B2

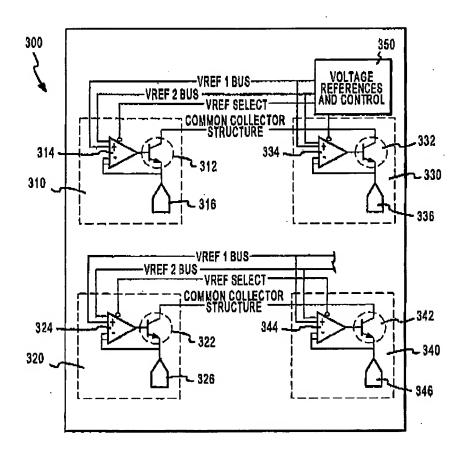


FIG.3

US 6,429,630 B2

1

APPARATUS FOR PROVIDING REGULATED POWER TO AN INTEGRATED CIRCUIT

CROSS REFERENCE TO RELATED APPLICATIONS

This application claims priority to United States Provisional Application Ser. No. 60/178,421, filed Jan. 27, 2000, entitled "Apparatus for Regulating Power to an Integrand Circuit."

TECHNICAL PTELD

The present invention generally relates to microelectronic devices. More particularly, the present invention relates to microelectronic devices suitable for regulating power.

BACKGROUND OF THE INVENTION

Regulators are often employed to provide a desired, regulated power to microelectronic devices such as micro-processors. For example, switching regulators such as buck regulators are often used to step down a voltage (e.g., from about 3.3 volts) and provide suitable power to a microprocessor (e.g., about 10–30 sups and about 2–3 volts).

To increase speed and reduce costs associated with misruprocessors, microprocessor gate counts and integration generally increase, while the size of the microprocessor per gate generally decocases. As gate counts, speed, and integration of microprocessors increase, supplying requisite power to microprocessors becomes increasingly problematic. For example, a current required to drive the processors generally increases as the number of processor gates increases. Mureover, as the gate count increases put surface area of a processor, the operating voltage of the processor must typically decrease to, among other reasons, reduce overall power consumption of the processor. Furthermore, as the microprocessor speed increases, the microprocessors domaed the higher current at faster speeds.

Although basic regulators are generally suitable for controlling power to some microprocessors, such regulators are not well suited to supply relatively high current (e.g., greater than about 30 amps) at relatively high speed (e.g., greater than about 500 MHz.). One reason that buck regulators have difficulty supplying high current at high speed to the microprocessor is that the current supplied from the regulators to the processor has to travel a conductive path that generally as includes a portion of a printed circuit board that couples the processor to the regulator. The relatively long conductive path between the processor and the regulator slows a speed at which the regulator is able to supply current to the processor. In addition, as microprocessor speed and current and emmants increases, the buck comproller simply emmot provide the desired amount of current at the desired amount of current at the desired rate.

Yes another problem with back regulators is that they are generally configured to supply power to within about ±5% of a desired value. While this range may be acceptable for as processors running at relatively low currents, this range becomes decreasingly acceptable as the current requirements of microprocessors increases. Thus, as microprocessor gate crunts and clock apeads increase, improved methods and apparatus for supplying high current of high speed and low voltage are desired. Furthermore, methods and apparatus for supplying the relatively high current within a relatively high telerance is desired.

SUMMARY OF THE INVENTION

The present invention provides improved apparatus and techniques for providing regulated power to a microslectronic device. More particularly, the invention provides improved devices and methods suitable for supplying electronic devices with relatively high, regulated current at relatively high speed.

The way in which the present invention addresses the deficiencies of now-known regulators and power supply systems is discussed in greater detail below. However, in general, the present invention provides an array of power regulators that provides power to a single microelectronic device.

In accordance with one exemplary embodiment of the present invention, an array of regulators is configured to provide power to a microprocessor. In accordance with one aspect of this embodiment, the array is formed as an integrated circuit on a semiconductor substrate, in accordance with a further aspect of this embodiment, the circuit is complet to the microprocessor through a relatively short conductive path (e.g., by coupling the circuit to the device via bump interconnects). In accordance with yet a further aspect of this embodiment, the array circuit is formed on a silicon germanium (SiGe) substrate to facilitate faster current supply to the device, in accordance with a further examplary embodiment of the present invention, a tiered apower regulation system is configured to provide power to a microelectronic device. The tiered system includes at least two levels of power regulation. In accordance with an examplary aspect of this embodiment, a first level of power regulation includes a switching regulator, in accordance with a further aspect of this embodiment, the second level of regulation includes a linear regulator. In accordance with a further aspect of this embodiment, the second level of engulation includes an array of linear regulators.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates a power regulation system in accordance with an exemplary embodiment of the present invention;

FIG. 2 illustrates a power regulation system in accordance with abemanive embediment of the present invention; and

FIG. 3 schematically illustrates a portion of a regulator array in accordance with an exemplary embodiment of the present invention.

DETAILED DESCRIPTION OF EXEMPLARY EMBODIMENTS

The present invertion generally relates to microelectronic power regulators. More particularly, the invention relates to regulators suitable for providing high current, high speed power to microelectronic devices and to electronic systems including the regulators. Although the present invention may be used to provide power to a variety of microelectronic devices, the invention is conventently described below in connection with providing power to microprocessors.

An exemplary power supply system 100 in accordance with the present invention is schematically illustrated in FIG. 1. As illustrated, system 100 includes an intermediate regulator 110, a regulator stroy 120, including regulators 120(a)-120(n), and a microprocessor 130. System 100 may also suitably include a power converter 140 and one or more discrete electronic components, collectively represented as components 150.

In general, system 100 is configured to provide relatively high current (e.g., 30 to more than 100 amps) at relatively low voltage (e.g., down to about 1 volt or less) with a strelatively short response time. As discussed in greater detail below, in accordance with the present invention, system 100 provides the high current power to microprocessor 130 by

US 6,429,630 B2

3

distributing the power regulating duty to a plurality of regulators (e.g. regulators 110 and/or regulators 120(a) 120 (a)).

Converter 149 of system 100 is generally configured to convert alternating current (AC) power obtained from a 5 typical AC power outsite to direct current (DC) power on, for example, provide suitable DC power for a motherboard of a computer. For example, in accordance with one examplary embodiment of the present invention, converter 140 is configured in convent 110 volt AC power to about 32 types to about 15 volts DC power at about 1 amp to about 20 types in accordance with one aspect of this embodiment, converter 146 includes multiple DC power outputs—e.g., about 12 volts at about 1 amp, about 5 volts at about 5 amps, at about 33 volts at about 1 amp, about 5 volts at about 5 amps, at about 33 volts at about 90 amps to supply the power to, for 15 capable, various types of microelectronic devices which may be coupled to the methorboard. In accordance with alternative embodiments of the present invention, converter 140 may include any number of DC power outputs, and the amount of power associated with each output may vary in accordance with a type of device coupled to the output of converter 140.

Intermediate regulator 110 is a DC-to-DC converter, which is designed to coaven output from converter 140 to fighter current, lower voltage power. In accordance with one exemplary embodiment of the present invention, regulator 110 mesives power (e.g. 3.3 volts at 30 amps) from converter 140 and converts the power to about 1.15 volts at about 100 amps. Regulator 110 may be a linear regulator, a switching regulator, or any other satisfale type of power controller; however, in accordance with one accomplary embodiment of the pursent invention, regulator 110 comprises a switching regulator such as a buck regulator.

System 100 may also optionally include discrete components 150 to facilitate rapid response power transfer from regulator 110 to army 120. In particular, components 150 may include expactions to store an appropriate charge and discharge the energy as army 120 calls for power from regulator 110.

Regulator 120 is generally configured to provide high current (e.g., up to 100 smps or more) power at a relatively low response time (e.g., at speeds of 500 MHz and above) to microprocessor 130. In accordance with an exemplary to microprocessor 130. In accordance with an exemplary embodiment of the present invention, army 120 includes one or more power regulators (e.g., regulators 120(a)-120(n)) configured to transform power received from regulator 110 and/or components 150 and convert the power into higher current, lower voltage power suitable for microprocessor 130.

Array 120 may include any number of regulators, which may be configured and complet to processor 130 in a variety of ways. For example, array 120 may include a number (n) of substantially identical regulators, wherein each regulator is configured to provide processor 130 with 1/n the operation power of processor 130. However, in accordance with allerants embediments of the invention, array 120 may be configured with regulators of various sizes that are configured to provide power to various portions of processor 130. For example, array 120 may include relatively high current engulators to provide power to impulyoutput huffers and regulators to provide power to impulyoutput huffers and relatively low current regulators to supply power to logic anits of the microprocessor.

FIG. 2 illustrates a power supply system 200 in accordance with an alternative embodiment of the invention. 65 Similar to system 180, system 280 generally includes an intermediate regulator 219, a regulator array 220, including

regulators 220(a)–220(n), a microprocessor 230, and optionally a power converter 240 and components 250.

System 200 is configured such that a portion of power supplied to microprocessor 230 may be derived from regulator 210. For example, in accordance with one aspect of this embodiment, regulator 210 supplies power to input/cat/put contacts of microprocessor 230 and/or a floating point contact of microprocessor 230. However, the invention is not so limited; system 200 may suitably be configured such that regulator 110 provides power to any portion of microprocessor 230.

FIG. 5 is a schematic illustration of an array 300, showing regulators 310, 320, 330, and 340 coupled to a common voltage reference 350 in accordance with an exemplary embodiment of the present invention. In accordance with the embodiment illustrated in FIG. 3, each regulator 310-340 is configured to supply substantially the same power (at the reference voltage) to a microprocessor—e.g., microprocessor 130.

Regulators \$10-340 may include switching regulators, linear regulators, combinations thereof, or other suitable devices for controlling power. In accordance with one exemplary embodiment of the present invention, regulators 310-340 are linear regulators and each regulator 310-340 suitably includes a transistor (e.g., bipolar transistors 312, 322, 332, and 342), an error amplifier (e.g., error amplifier 314, 324, 334, and 334), and a voltage source (e.g., sources 314, 326, 336, and 346).

As acted shove, regulators 310-340 are generally configured to provide output power to processor 130 at a voltage substantially equivalent to voltage refusence 350. However, regulators 310-340 may emilably be trimmed such that the output voltage can be set to about \$1% of the reference voltage. In accordance with alternative embodiments of the present invention, urray 300 may include multiple voltage references at various voltages, with one or more regulators tied to each reference. Use of multiple voltage references allows for power regulation at the various voltage levels to various portions of mistroprocessor 1300.

In accordance with one exemplary embodiment of the invention, all regulators (e.g., regulators 310, 320, 330, and 340) are suitably complete together in parallel such that, in addition to each regulator being tied to a common reference voltage, each regulator army 300 is tied to a common efference collector structure. The parallel coupling of regulators within an army 4100ws for a total current outputs from each regulator within army 300. Thus, time delays associated with larger regulators are mitigated because smaller regulators within an array are used in provide current to a portion or portions of microprocessor 130. In other words, microprocessor 130 does not depend on a single, large regulator to supply requisite current.

A conductive path between array 120 and microprocessor 130, or a portion thereof, is preferably relatively short to reduce the effects of parasitic inductance between an array (e.g., array 120) and microprocessor 130. Providing a relatively short conductive path between array 120 and microprocessor 130 is additionally advantageous because purasition inductance between array 120 and processor 130 is generally reduced as the distance between the companents is reduced. One technique for providing a relatively short compactive path between array 120 and microprocessor 130 in accordance with the present invention is to couple array 120 in processor 130 using conductive bumps such as C4 (Controlled Collapse Chip Connection) bumps. In accor-

APPENDIX B - US PATENT 6,429,630 (PARENT OF APPLICATION)

US 6,429,630 B2

dance with various aspects of this embodiment, array 120 may be coupled directly to microprocessor 130, or array 120 may suimbly be coupled to a package containing micropro-COSSUT 130.

To facilitate fast power delivery from regulators 120(a)-120(a) of array 120 to processor 130, regulators 120(a)-120(a) are formed on a semiconductor substrate having relatively high electron mobility such as silicon germanium (SiGo), Gallium Arsenide (GAAs), or the like. Forming regulators on SiGo or similar substrates that have relatively 10 high electron mobility allows relatively quick power transfer (e.g., on the order of GHz speed) between regulator 120 and microprocessor 130. In addition, confecuency substrates such as SiGe exhibit a relatively high current density, compared to conventional semiconductor materials, which 15 allows for formation of more transistous per surface area of SiGe compared to substrates having lower current density such as silicon.

In accordance with an alternative embodiment of the present invention, a regulator array and microprocessor 130 20 are formed on a single semiconductive substrate formed of, for example, SiGe, or other suitable semiconductive materials. Integrating an array and a microprocessor on a single the substrate allows for even faster power supply from the array to the microprocessor. The integral array may provide power to all or a portion of the microprocessor and may be in addition to or in lieu of an array, such as array 120 illustrated

Although the present invention is set forth herein to the sometri of the appended drawing figures, it should be appreciated that the invention is not limited to the specific form shown. For example, while the invention is conve-niently described above in connection with providing power

to a discrete microprocessor, the present invention may suitably be used provide power to a plurality of microelectronic devices. Various other modifications, variations, and cohancements in the design and arrangement of the method and apparatus set forth herein may be made without deput-ing from the spirit and scope of the present invention as set forth in the appointed claims.

We claim:

1. A tiered power regulation system comprising:

an intermediate power regulator; and

- a regulator array comprising a plurality or power regulators, wherein at least a portion of said plurality of power regulators are coupled to a common voltage SOURCE,
- wherein said plurality of power regulators is configured to couple to a plurality of portions of a microprocessor.
- at least one of said regulators is configured to provide power to the microprocessor at a rate greater than about 500 MHz.
- 2. The tiered power regulation system of claim 1, wherein said intermediate power regulator is a switching regulator.
- The tiered power system of claim 1, wherein at least one of said plurality of regulators is a linear power regulator. 4. The thered power regulation system of claim 1, wherein said regulator array is compled to said microprocessor using bump technology.
- 5. The tiered power regulation system of claim 1, wherein said regulator array is formed using a compound semiconductor substrate.

.